

Practitioner's Docket No. 18438/09040

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: David R. Anderson, Emily J. Reinhard, Stephen A. Kolodziej, William F. Vernier, and Shridhar G. Hegde

Application No.:

Group No.:

Filed:

Examiner:

For: METHOD OF MAKING TRICYCLIC AMINOCYANOPYRIDINE COMPOUNDS

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA, 22313-1450



EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL 999246908 US

Date of Deposit December 5, 2003

I hereby state that the following *attached* paper or fee

1. Fee Transmittal (1 page)
2. New Application Transmittal with duplicate (3 pages each)
3. Patent Application Data Sheet (6 pages)
4. Non-Provisional Application with Title Page and Abstract (105 pages)
5. Declarations and Powers of Attorney (15 pages)
6. Check No. 23753 for \$770.00 (1 page)
7. Return Postcard (1 page)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above and is addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Debra Dunn-Brown

  
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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770.00)

## Complete if Known

Application Number	Not Yet Assigned
Filing Date	December 5, 2003
First Named Inventor	Anderson et al.
Examiner Name	Not Yet Assigned
Art Unit	Not Yet Assigned
Attorney Docket No.	18438/09040

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

50-2548

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

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## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	770
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 770.00)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
17	-20** = 0	18	0
2	-3** = 0	86	0
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	


Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Charles E. Dunlap	Registration No. (Attorney/Agent)	35,124	Telephone	864-250-2238
Signature		Date	December 5, 2003		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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Preliminary Classification:

Proposed Class: 514

Subclass:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): David R. Anderson; Emily J. Reinhard; Stephen A. Kolodziej; William F. Vernier;  
Shridhar G. Hegde

For (title): METHOD OF MAKING TRICYCLIC AMINOCYANOPYRIDINE COMPOUNDS

1. Type of Application

This application is for an original (nonprovisional).

2. Papers Enclosed

A. Required for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design)  
Application

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**EXPRESS MAILING UNDER 37 C.F.R. § 1.10\***

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12/5/03

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92 Page(s) of Specification  
11 Page(s) of Claims

**B. Other Papers Enclosed**

1 Page(s) of Title Page  
1 Page(s) of Abstract  
1 Page(s) of Express Mail Certificate  
6 Page(s) of Patent Application Data Sheet  
15 Page(s) of Declarations and Powers of Attorney  
1 Page(s) of Fee Transmittal Sheet  
1 Page(s) of Check No. 23753 for \$770.00  
1 Page(s) of Return Postcard

**3. Declaration or Oath**

Enclosed

Executed by:

\* inventors.

**4. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**5. Language**

English

**6. Fee Calculation (37 C.F.R. § 1.16)**

Regular Application

CLAIMS AS FILED									
Basic Fee 37 C.F.R. § 1.16(a) \$770.00									
Number Filed		Number Extra		Rate					
Total									
Claims (37 C.F.R § 1.16(c))		17	–	20	=	0	x	\$ 18.00	= \$ 0.00
Independent									
Claims (37 C.F.R § 1.16(b))		2	–	3	=	0	x	\$ 86.00	= \$ 0.00
Multiple Dependent Claim(s),							\$	290.00	\$ 0.00

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if any (37 C.F.R § 1.16(d))

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Filing Fee Calculation

\$770.00

**7. Fee Payment Being Made at This Time**

Enclosed

Filing Fee

\$770.00

**Total Fees Enclosed**

**\$770.00**

**8. Method of Payment of Fees**

Attached is Check No. 23753 in the amount of \$770.00.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

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**9. Instructions as to Overpayment**

Credit Account No. 50-2548.

Date:

Dec. 5, 2003

Reg. No.: 35,124

Tel. No.: 864-250-2238



Signature of Practitioner

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